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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Joseph FERNANDO et al.      Docket No: UNF-9058 A  
Serial No: 09/560,469      Group Art Unit: 1764  
Filed: April 28, 2000      Examiner: Hien Tran

Title: SUPPORT ELEMENT FOR FRAGILE STRUCTURES SUCH AS CATALYTIC CONVERTERS

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ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231**

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Laura A. Refn  
(type or print name of person signing paper)

Laura A. Refn  
(signature of person mailing paper)

8-3-00  
(date)

**COMPLETION OF FILING REQUIREMENTS**

I. This replies to the Notice to File Missing Parts of Application (Formalities Letter) mailed May 31, 2000.

☒ A copy of the Notice to File Missing Parts Application-Filing Date Granted (Formalities Letter) is enclosed.

☐ No copy of the Notice to File Missing Parts Application-Filing Date Granted (Formalities Letter) was received.

**DECLARATION OR OATH**

II. (a) ☒ No executed declaration or oath was filed. Enclosed is the original executed declaration or oath for this application.

**OR**

(b) ☐ The declaration or oath which was filed was determined to be defective. A new original oath or declaration is attached.

Attached is a

- (c) ☐ Statement by a registered attorney that the application filed in the PTO is the application which the inventor executed by signing the declaration.
- (d) ☒ Statement that the "attached" specification is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

#### AMENDMENT CANCELING CLAIMS

- III. ☐ Cancel claims \_\_\_\_\_ inclusive.

#### TRANSMITTAL OF ENGLISH TRANSLATION OR NON-ENGLISH LANGUAGE PAPERS

- IV. ☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

#### SMALL ENTITY STATUS

- V. Applicant is

[ ] a small entity  
verified statement:

[ ] enclosed

[ ] already filed in application

[X] other than a small entity. Unifrax Corporation is no longer a small entity as defined by 37 C.F.R. §§1.9(d).

#### COMPLETION FEES

- VI.

##### 1. Filing Fee

☐ original patent application (37 CFR 1.16(a)- \$790;  
Small entity - \$395)

\$0.00

☐ Design application (37 CFR 1.16(f) \$330; small entity - \$165)

\$0.00

2. Fees for claims

- ☐ each independent claim in excess of 3  
(38 CFR 1.16(b)-\$82; small entity -\$41) \$0.00
- ☐ each claim in excess of 20 (37 CFR 1.16(c)-\$22;  
small entity-\$11) \$0.00
- ☐ multiple dependent claim(s) (37 CFR 1.16(d) - \$270;  
small entity- \$135) \$0.00

3. Surcharge Fees

- ☐ late payment of filing fee

and/or

- ☒ late filing of original declaration or oath (37 CFR 1.16(e) - \$130;  
small entity - \$65); \$130.00

4. ☐ Petition and fee for filing by other than all the inventors  
or person not the inventor  
(37 CFR 1.17(h) and 1.47 - \$130) \$0.00

5. ☐ Fee for processing an application filed with a specification in a non-  
English language (37 CFR 1.17(k) and 1.52(d)-\$130) \$0.00

6. ☐ Fee for processing and retention of application (37 CFR 1.21(l) and  
1.53(d)-\$300) \$0.00

Total completion fees \$130.00

**EXTENSION OF TIME**

VII.

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136(a) apply.

- (a) ☒ Applicant petitions for an extension of time, the fees for which are set out  
in 37 CFR 1.17(a)-(d), for the total number of months checked below:

<u>Extension months</u>	<u>Fee for other than small entity</u>	<u>For small entity</u>
[X] one month	\$ 110.00	\$ 55.00
[ ] two months	\$ 380.00	\$ 190.00
[ ] three months	\$ 870.00	\$ 435.00
[ ] four months	\$ 1,360.00	\$ 680.00
[ ] five month	\$ 1,850.00	\$ 925.00
	Extension Fee	<u>\$ 110.00</u>

If an additional extension of time is required please consider this a petition therefor.

Based on the extension requested in this petition, the extended period for response will expire on August 31, 2000.

or

(b) ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

6. Fee Payment

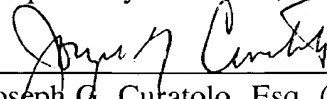
Attached is a check in the amount of \$ 240.00.

7. Fee deficiency

[X] If any additional extension and/or fee is required, charge Account No. 18-0987.

A duplicate of this transmittal is attached.

Respectfully submitted,

  
 Joseph G. Curatolo, Esq. (Reg. No. 28,837)  
 Renner, Kenner, Greive, Bobak, Taylor & Weber  
 24500 Center Ridge Road, Suite 280  
 Westlake, OH 44145  
 Telephone: (440) 808-0011  
 Attorney for Applicants

8-3-2000  
 Date



Sector #

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Laura A. Rasm  
(type or print name of person signing paper)

Laura A. Rasm  
(signature of person mailing paper)

8-3-00  
(date)

**TRANSMITTAL SHEET**

Enclosed is:

Transmittal Sheet with Certificate of Mailing and Authorization to Charge Deposit Account  
(1 page - in duplicate)  
Completion of Filing Requirements with Certificate of Mailing and Authorization to Charge  
Deposit Account (4 pages)  
Notice to File Missing Parts of Application (1 page)  
Executed Declaration and Power of Attorney (3 pages) with Specification attached (44 pages  
including drawings)  
Statement by Attorney that Papers Attached to Declaration are a Copy of Those Filed in PTO  
to get a Filing Date (2 pages)  
Check in the amount of \$240.00  
Return Receipt Postcard

Authorization to Charge Deposit Account

In the event an additional fee is applicable to the filing of this document and the required fee is not enclosed, or the fee submitted is insufficient, the Commissioner is hereby authorized to charge any fees which might be required to effect the filing of this (these) document(s) to Account No. 18-0987. This authorization is being submitted in duplicate.

Respectfully submitted,

Joseph G. Curatolo  
Joseph G. Curatolo, Esq. (Reg. No. 28,837)  
RENNER, KENNER, GREIVE, BOBAK, TAYLOR & WEBER  
24500 Center Ridge Road, Suite 280  
Westlake, Ohio 44145  
Telephone: (440) 808-0011  
Attorney for Applicants

8-3-2000

Date



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Laura A. Rafn  
(type or print name of person signing paper)

Laura A Rafn  
(signature of person mailing paper)

8-3-00  
(date)

**STATEMENT BY ATTORNEY THAT PAPERS ATTACHED TO  
DECLARATION ARE A COPY OF THOSE FILED IN PTO  
TO GET A FILING DATE**

I,

Joseph G. Curatolo, Esq. (Reg. No. 28,837)  
Renner, Kenner, Greive, Bobak, Taylor & Weber  
24500 Center Ridge Road, Suite 280  
Westlake, Ohio 44145  
Telephone: (440) 808-0011

state that I am the attorney of record for this application and that I have reviewed the

1 page of abstract  
27 pages of specification  
8 pages of claims  
8 pages of drawings

as shown in my files to be the papers I filed in respect to the above identified application and have compared them to the papers attached to the declaration(s) of

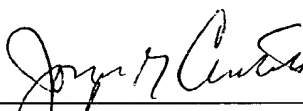
Joseph A. FERNANDO  
John D. TEN EYCK  
Thomas S. LACKI

for SUPPORT ELEMENT FOR FRAGILE STRUCTURES SUCH AS CATALYTIC CONVERTERS

which accompanies this statement and I declare that these papers attached to the declaration(s) are a copy of the specification and any amendment thereto which I filed in the PTO in order to obtain a filing date for this application by:

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- ☐ depositing by hand at the PTO
- ☐ mailing to the PTO
- ☒ mailing to the PTO by Express Mail bearing Mailing Label Number EL493656897US on April 28, 2000.

  
\_\_\_\_\_  
Signature of Attorney

## FORMALITIES LETTER



\*OC00000005147271\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/560,469	04/28/2000	JOSEPH A FERNANDO	UNF-9058-A

JOSEPH G CURATOLO ESQ  
 RENNER KENNER GREIVE BOBAK TAYLOR & WEBBER  
 SUITE 280  
 24500 CENTER RIDGE ROAD  
 WESTLAKE, OH 44145



Date Mailed: 05/31/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/10/2000 EKORDHA 00000003 09560469

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